

Measuring the Top Quark Mass at CDF

Nick van Remortel

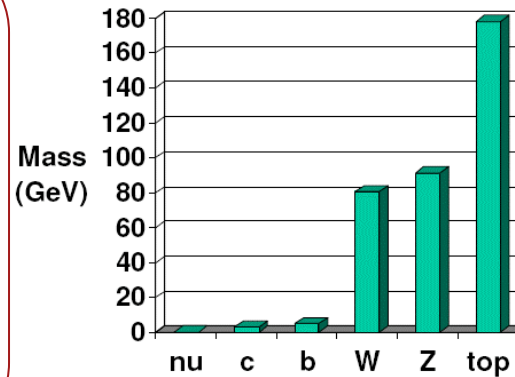
University of Antwerp, Belgium

University of Helsinki, Finland

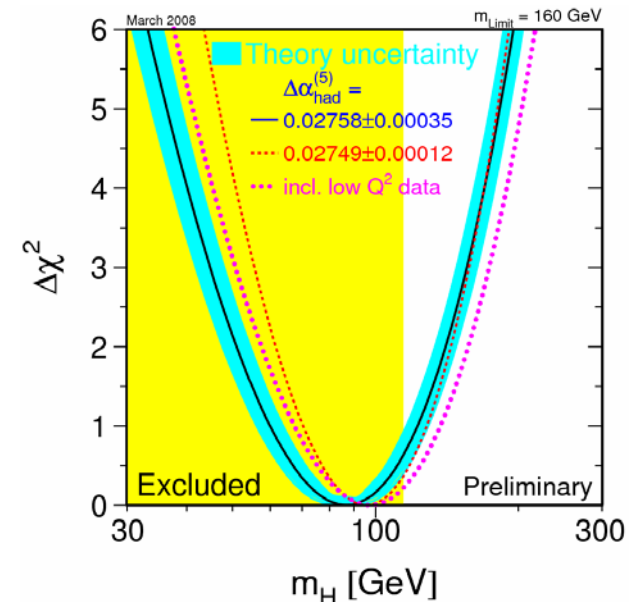
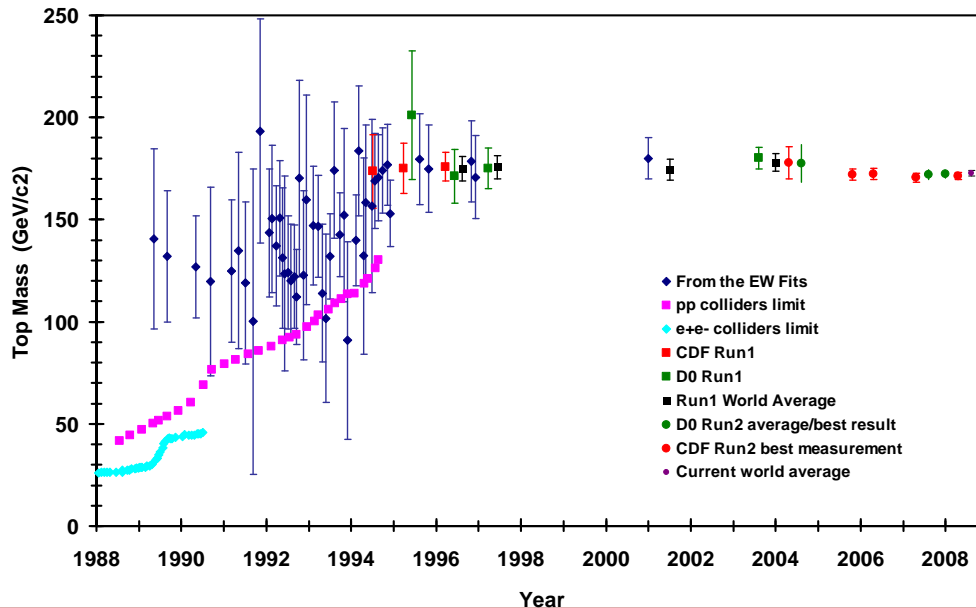


Top Quark Mass

- *Fundamental parameter of the SM*
- *Heavy: Yukawa coupling ~ 1*
- *Together with the W mass constraining the SM Higgs*
 - *If Higgs is discovered: consistency check*
- *One of Tevatron's major successes*



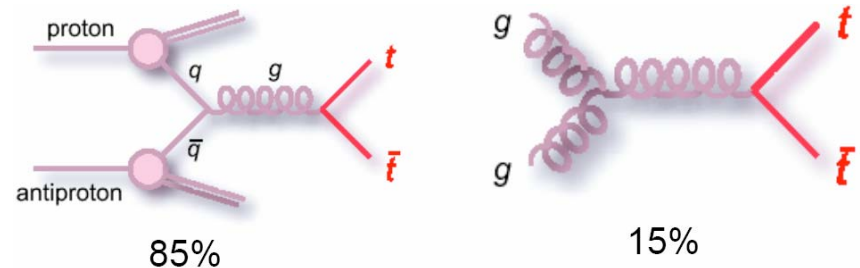
$$m_H < 160 \text{ GeV @ 95 \% CL}$$



Top Quark Production & Decays

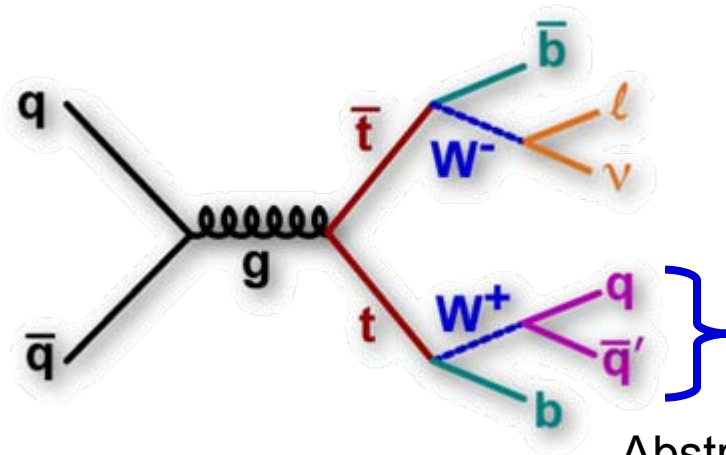
- **Di-lepton** channel: ~5%
clean: both W decay to leptons, in practice uses only e, μ .
- **Lepton+Jets** channel: ~30%
one W decays to leptons, the other decays to quarks
- **All-Hadronic** channel: ~45%
both W decay to quarks, lowest S/B ratio

Mainly produced in pairs via strong interaction: $\sigma \approx 7\text{pb}$

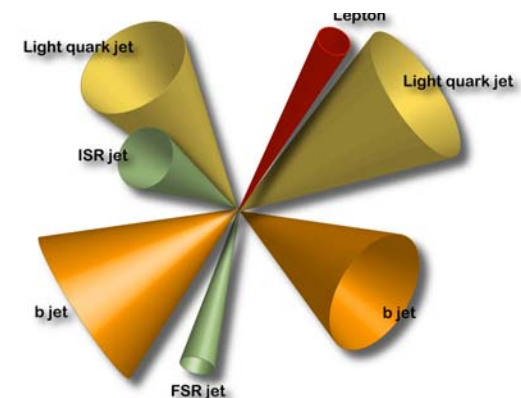


Challenges: ν momentum, combinatorics, b-tagging efficiencies, jet energy scale.

Solutions: sophisticated analyses, in-situ $W \rightarrow jj$ calibration



Abstraction level



CDF analysis strategy



- ❖ Exploit all main 3 decay channels, including tau sensitive and all-hadronic
- ❖ Background estimates standardized in each channel
- ❖ Aim for one template and one matrix element measurement per channel
- ❖ Use baseline PYTHIA v6.2 MC to verify/correct for biases and pulls
- ❖ Blind analysis policy: only look at data after measuring 10 blind mass samples
- ❖ All latest measurements use 2fb^{-1} data

- ✓ Use $W \rightarrow jj$ to perform simultaneous measurement of Jet Energy Scale
- ✓ Include cross-section information
- ✓ Combine several final states in one analysis
- ✓ Use observables that are less sensitive to dominant systematic uncertainties
 - lepton P_t spectrum
 - decay length of b-quark jets

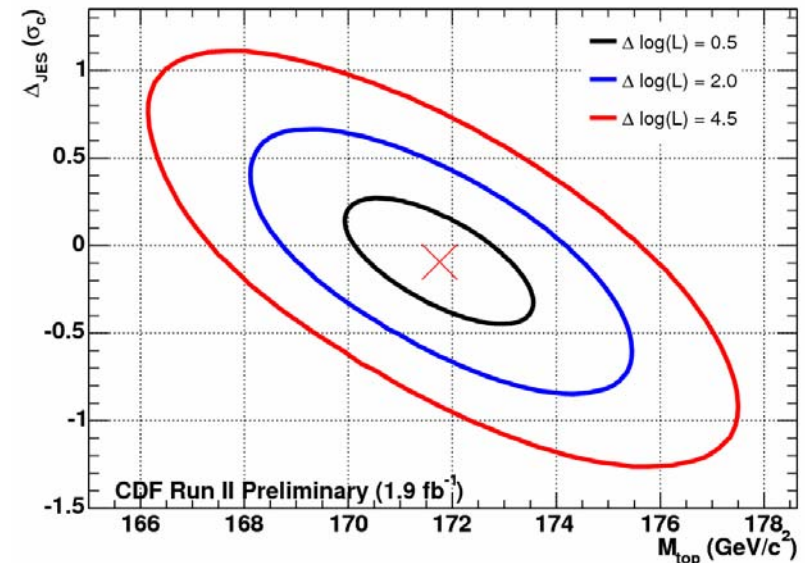
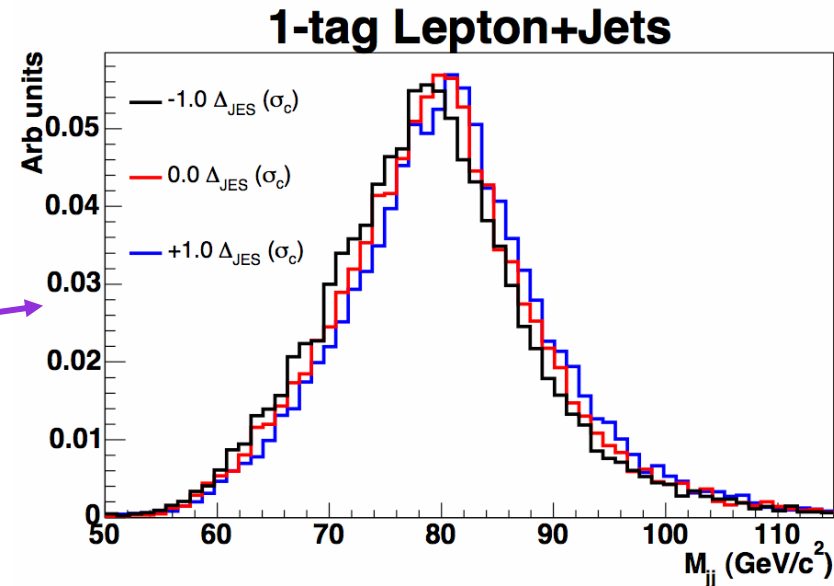
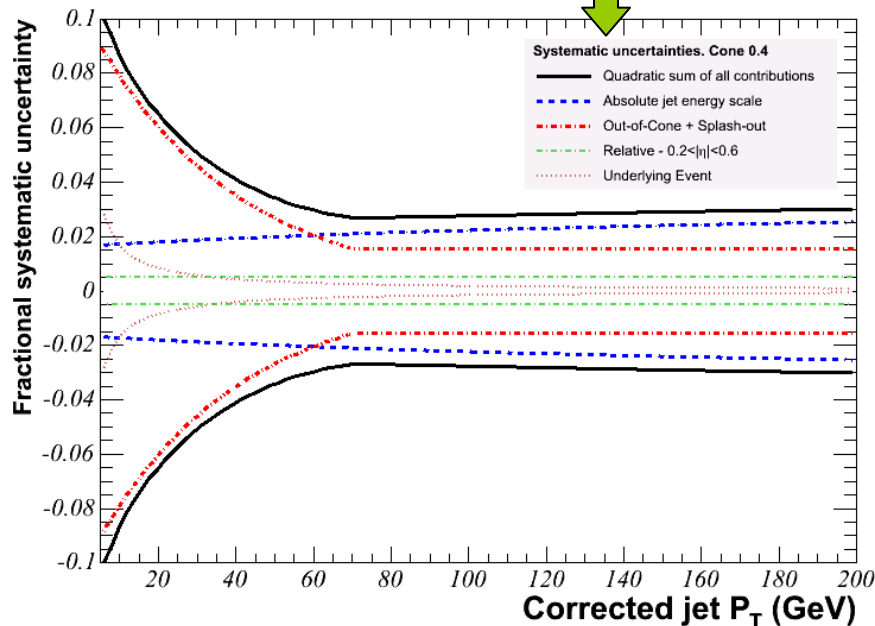
Large effort on gaining more confidence in existing and studies of new systematic error sources

Constraining the JES with in-situ measurement

- JES used to be dominant source of systematic uncertainty in many analyses
- All mass analyses with at least one hadronic W in final state measure the JES simultaneously with M_{top} :

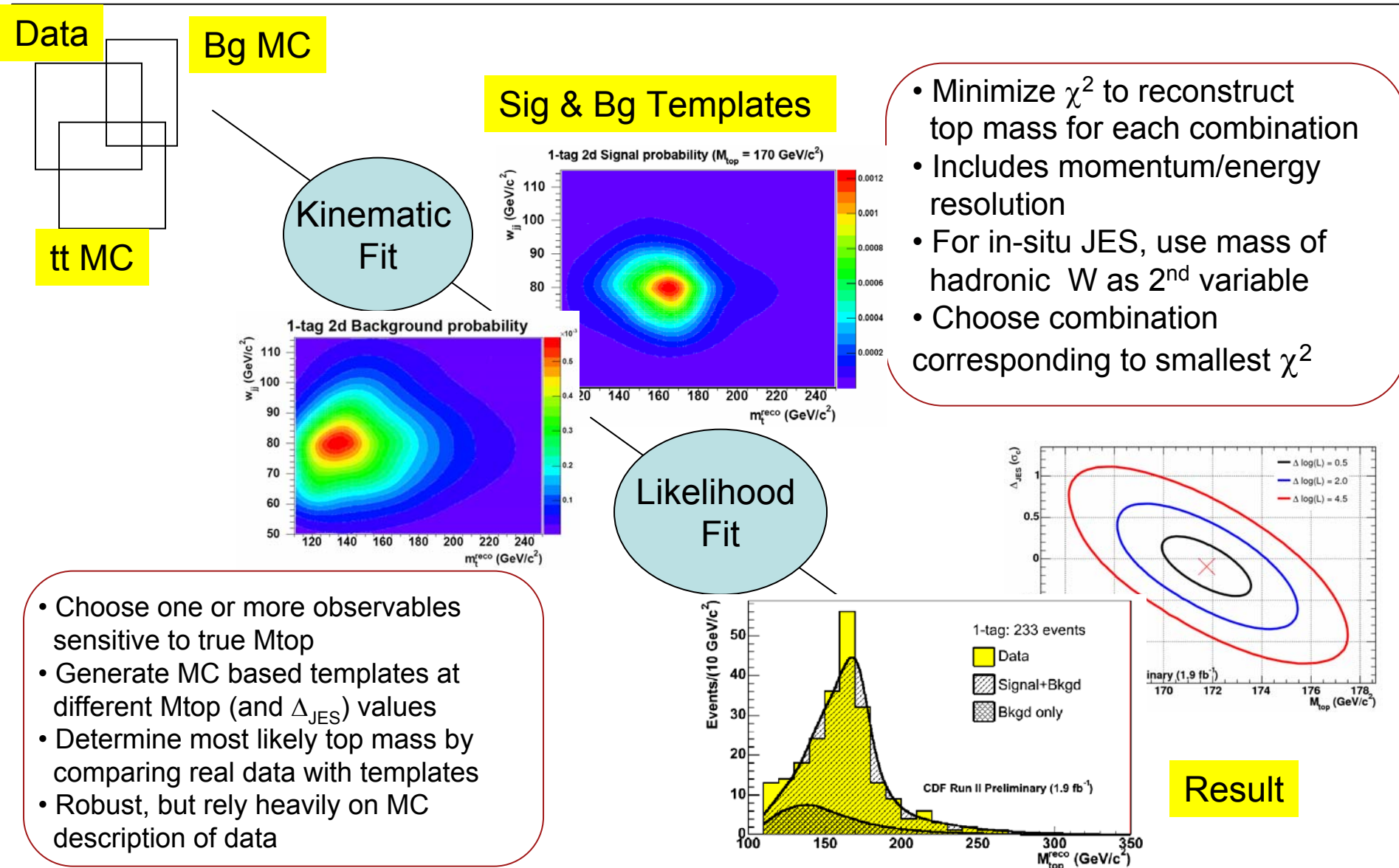
Scale jet energies to match W mass spectrum by:

$$E_{\text{jet}} = E_{\text{meas}} (1 + \Delta_{\text{JES}} \cdot \sigma_{\text{JES}}(P_t))$$



The Methods

Generic Template method



Combined dilepton - L+jets template

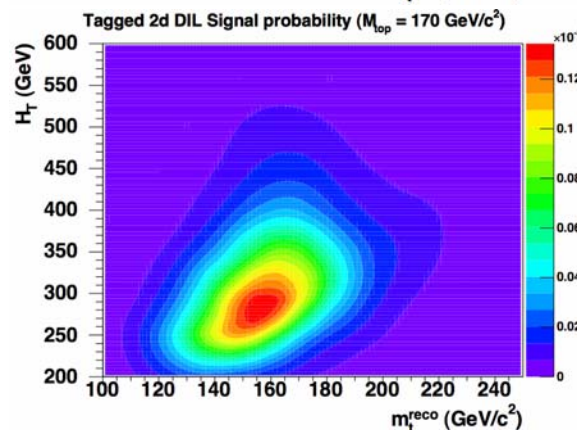
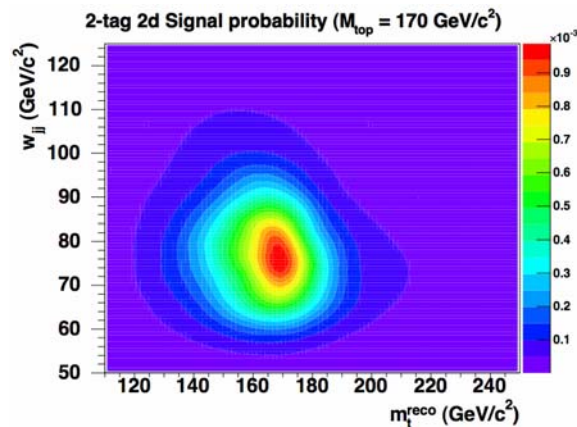
L+JETS

	1-tag	2-tag
Wbb	9.1	2.1
$Wc\bar{c}$	5.0	0.4
Wc	3.3	0.1
$W(\text{mistags})$	10.4	0.2
single top	2.0	0.7
diboson	2.4	0.2
QCD	10.4	0.3
Total Background	42.7 ± 12.5	4.2 ± 1.9
tt (6.7 pb)	156.7	76.6

DILEPTON

	0-tag	tagged
WW	6.3 ± 1.0	0.2 ± 0.04
WZ	1.5 ± 0.2	0.03 ± 0.00
ZZ	1.1 ± 0.8	0.1 ± 0.1
$DY\tau\tau$	4.3 ± 1.3	0.2 ± 0.1
$DYee, \mu\mu$	11.7 ± 1.9	0.6 ± 0.1
fakes	5.6 ± 0.4	1.2 ± 0.2
Total Background	30.4 ± 4.1	2.4 ± 0.4
tt (6.7 pb)	40.1 ± 3.1	55.8 ± 4.2

- Typical S/B for dilepton: 1:1 (0 tag); 20:1 (2 tags)
- Typical S/B for l+jets: 4:1 (1 tag); 20:1 (2 tags)
- Most background shapes/kinematics are MC-based, except for fakes/QCD
- Rates are typically a combination of data and MC
- B-tagging reduces background significantly



- Use dijet mass of hadronically decaying W as second observable, to constrain JES
- Apply the JES measurement to dilepton events

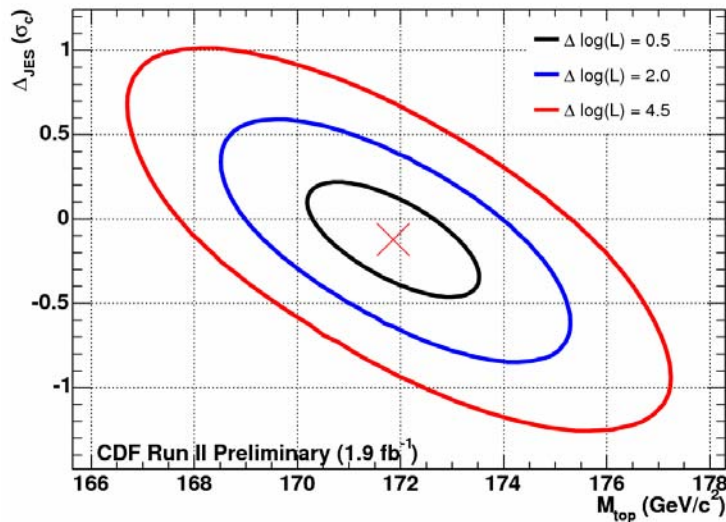
- Fit neutrino momenta and test compatibility with measured missing E_T as function of reconstructed top mass
- m_t^{reco} = mass at peak of probability weight distribution as first observable
- Second observable is the H_T (scalar sum MET, lepton PT, jet PT) in the event

Result on combined dil – l+jets template

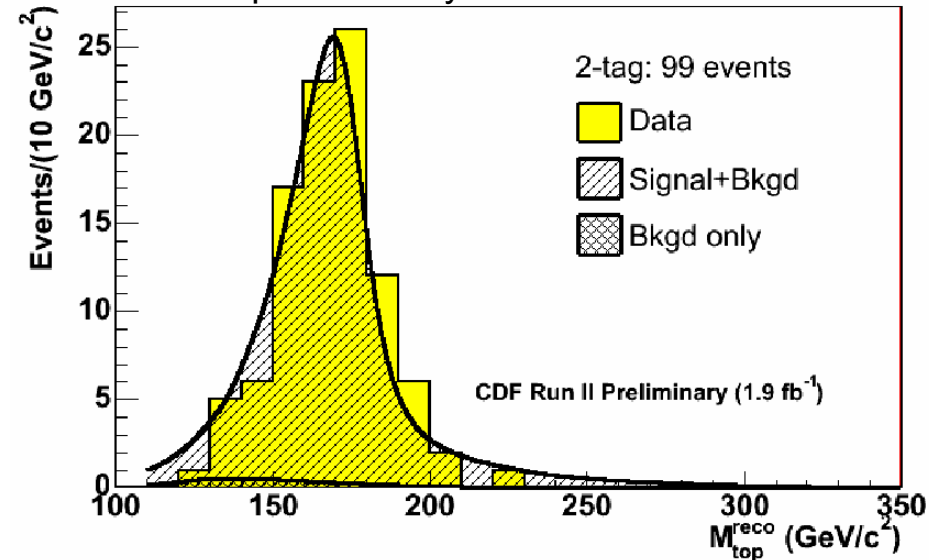
Advantages of combining 2 channels in one analysis:

- Combined fit using a floating JES (same JES for both channels)
- Not requiring the assumption of Gaussian measurements, use full likelihood curves instead
- Intrinsic treatment of correlations in systematics

Combined DIL- L+jets tagged and untagged likelihood



Example of 1D signal and background template overlayed with data

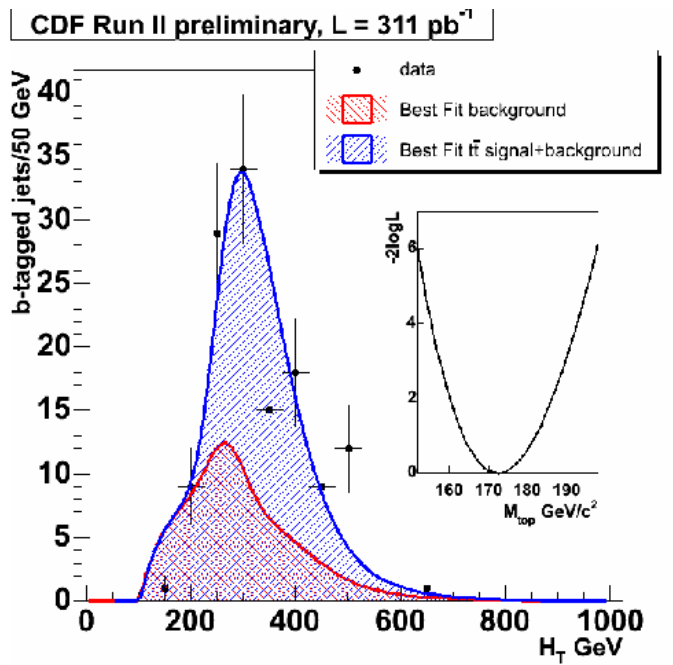


$$M_{top} = 171.9 \pm 1.7 \text{ (stat.+JES)} \pm 1.0 \text{ (syst)} \text{ GeV/c}^2 = 171.9 \pm 2.0 \text{ GeV/c}^2$$

Orthogonal measurements

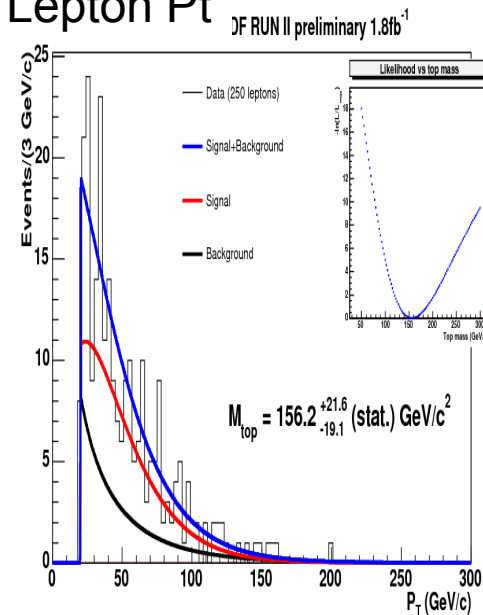
Template based methods that are strongly independent from mainstream analyses

Be sensitive to $W \rightarrow \tau \nu$
(missing E_T + jets)



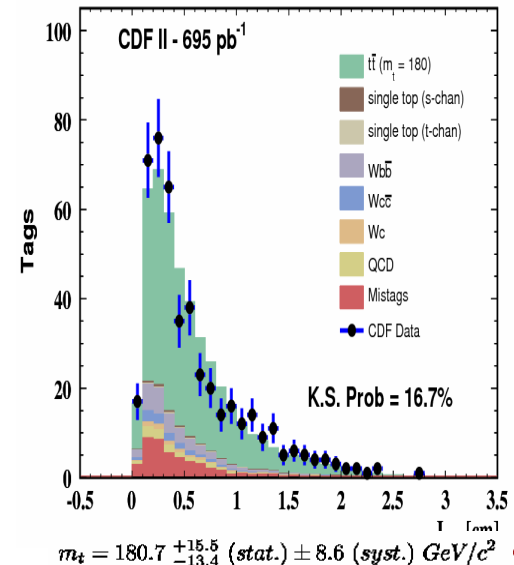
Rely on observables less
sensitive to Jets

Lepton P_T



See N. Giokaris' talk

Decay length of b 's



All of these have large statistical uncertainties, but could be useful at LHC

Generic Matrix Element Method

probability to observe a set of kinematic variables x for a given top mass

$d^n\sigma$ is the differential cross section
Contains (LO) **matrix element** squared

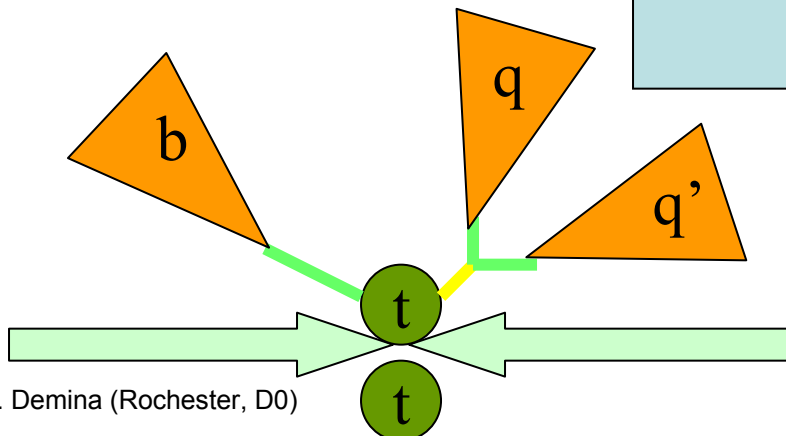
$W(x, y)$ is the probability that a parton level set of variables y will be measured as a set of variables x

$$P_{\text{sgn}}(x; m_t) = \frac{1}{\sigma(m_t)} \int d^n\sigma(y; m_t) dq_1 dq_2 f(q_1) f(q_2) W(x, y)$$

Normalization depends on m_t
Includes acceptance effects

$f(q)$ is the probability distribution than a parton will have a momentum q

Integrate over unknown q_1, q_2, y



R. Demina (Rochester, D0)

- Maximal extraction of information, but phase space integration is very CPU intensive
- Needs to be calibrated with MC at different M_{top} values
- Additional background probability term with varying level of sophistication

ME in L+jets

Optimizations w.r.t. textbook approach:

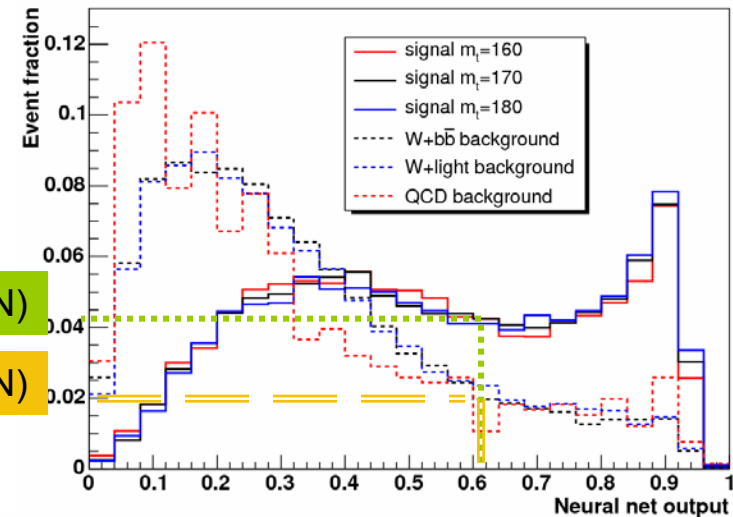
- In addition to sequential selection cuts and b-tagging, use an event-by-event background probability obtained from Neural net:

$$f_B(\text{NN}) = \frac{B(\text{NN})}{S(\text{NN}) + B(\text{NN})}$$
- Sum all likelihoods obtained from 24 possible Jet permutations to obtain single event likelihood:

$$L(\vec{y} \mid m_t, \Delta_{\text{JES}}) = \frac{1}{N(m_t)} \frac{1}{A(m_t, \Delta_{\text{JES}})} \sum_{i=1}^{24} w_i L_i(\vec{y} \mid m_t, \Delta_{\text{JES}})$$

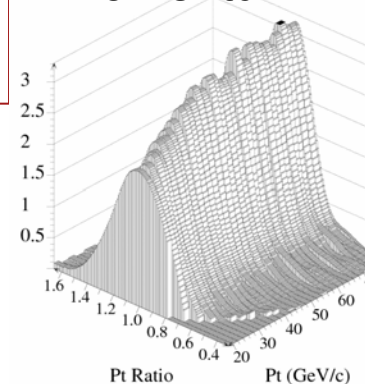
Each combination is weighed with its compatibility with b-tagging information

- Include angular transfer functions in addition to momentum transfer functions
- Leave the Δ_{JES} correction to the jet momenta floating
- Subtract background likelihood from data

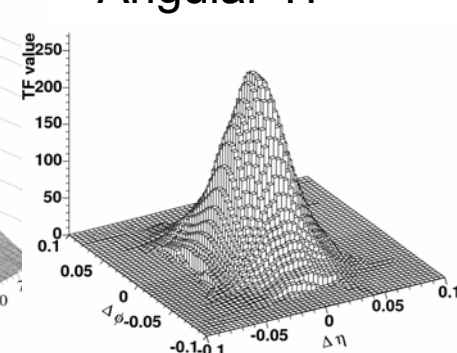


S(NN)
B(NN)

Momentum TF

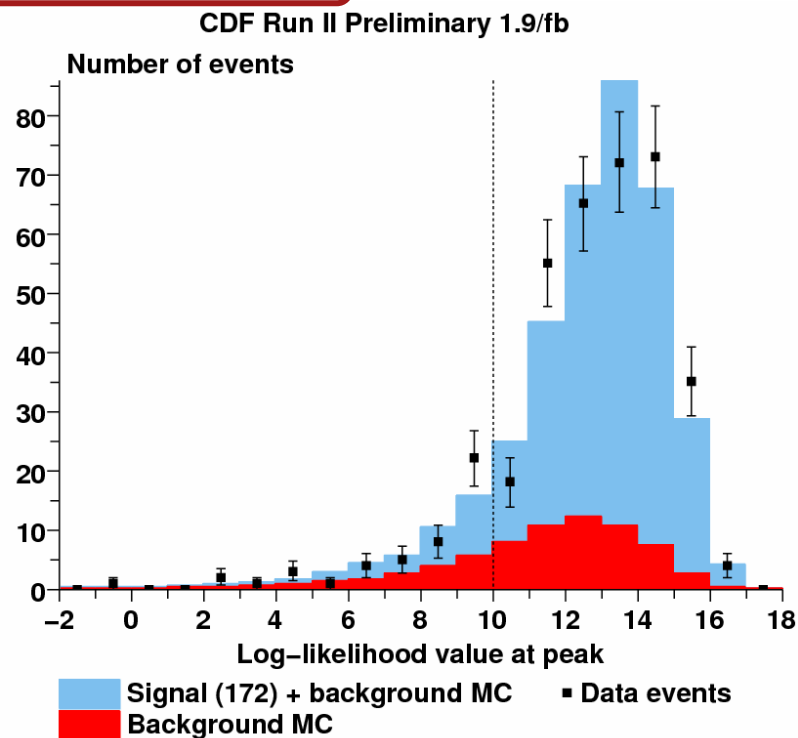
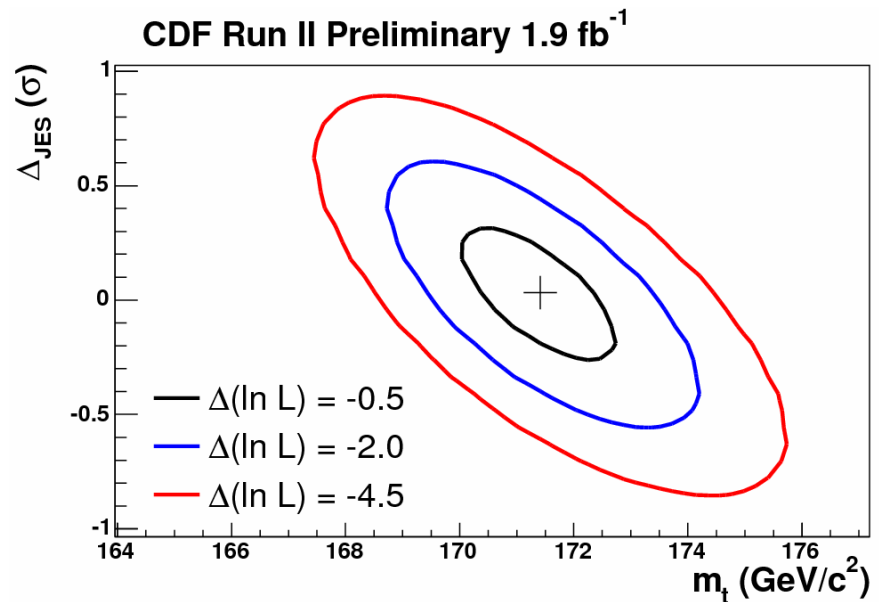


Angular TF



ME in L+jets results

CDF's most precise measurement!



1% relative precision

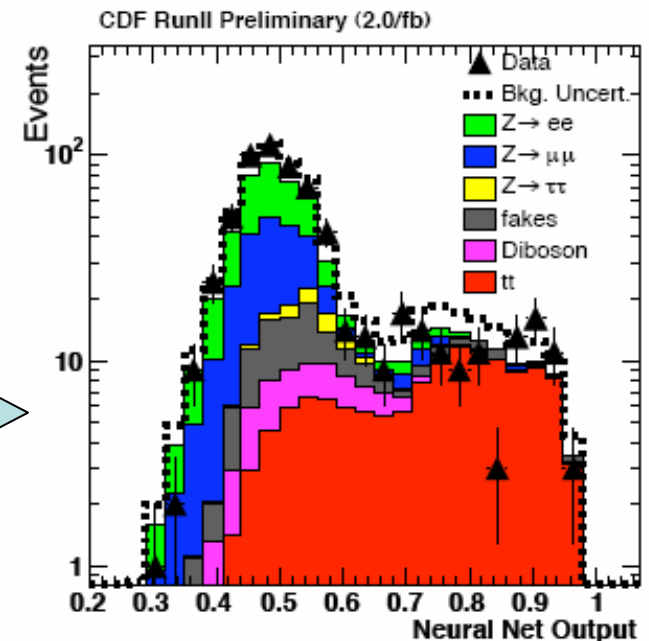
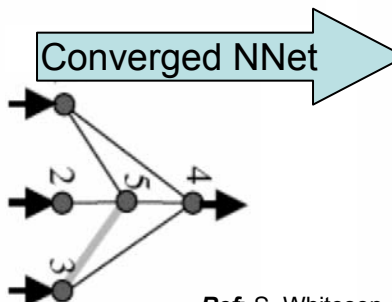
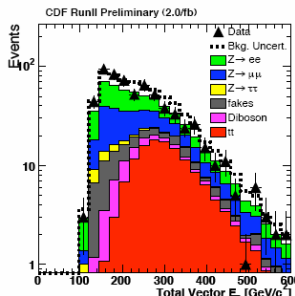
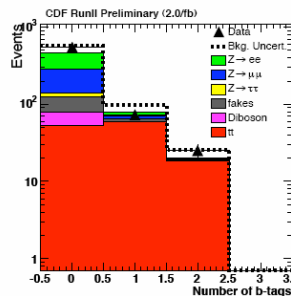
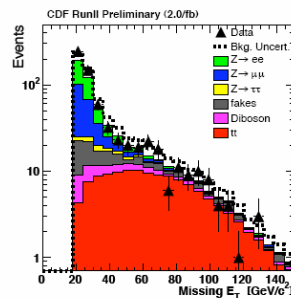
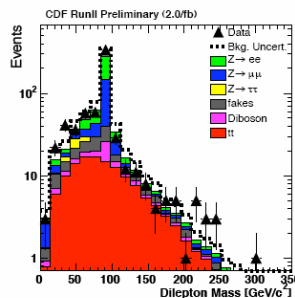
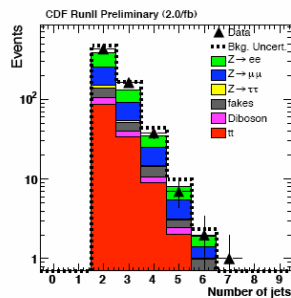
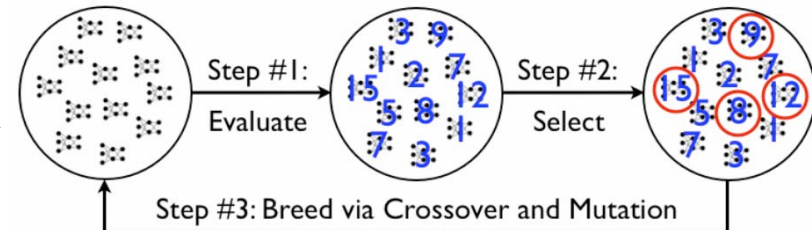
$$M_{\text{top}} = 171.4 \pm 1.5 \text{ (stat.+JES)} \pm 1.0 \text{ (syst)} \text{ GeV}/c^2 = 171.4 \pm 1.8 \text{ GeV}/c^2$$

ME in dilepton

Event selection optimized to yield smallest expected statistical uncertainty by means of **neuro-evolution**:

- Start with random collection of neural nets
- Determine analysis sensitivity of each network (fitness function)
- Discard low sensitive nets and combine topology and node weights through mutation

Neuro-evolution optimization

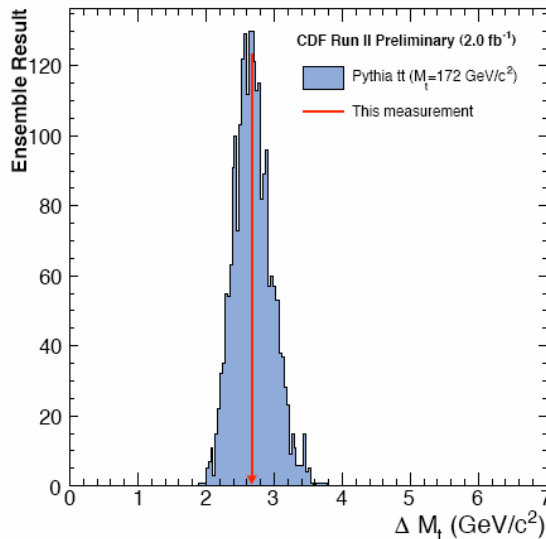


Ref: S. Whiteson and D. Whiteson, *Proceedings of the Nineteenth Annual Innovative Applications of Artificial Intelligence Conference*, p1819-1825, July 2007
K. Stanley and R. Miikulainen, *Evolutionary Computation* 10(2):99-127, 2002

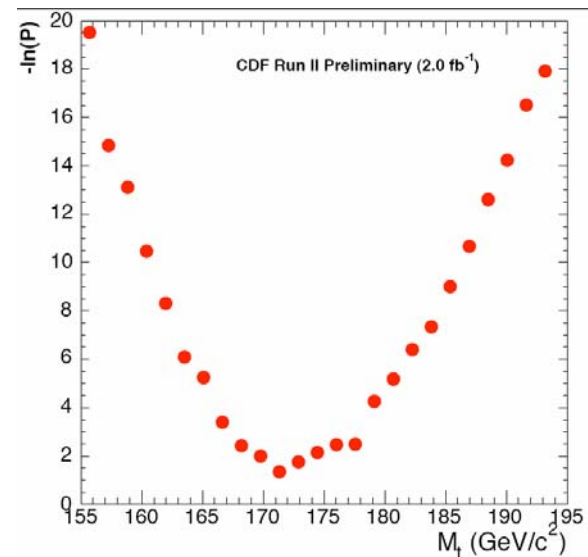
ME in Dilepton results

- Main background sources are subtracted separately using their own differential cross section (by MADGRAPH & MCFM) and weights dependent on number of b-tags in each event
- No handle on the JES which is by far the dominating systematic uncertainty

Mass uncertainty improved by 20%



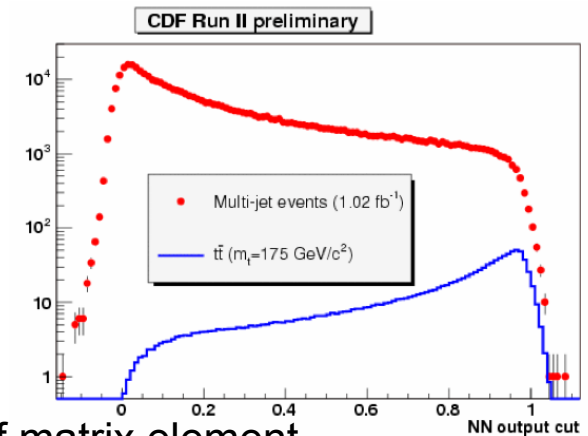
Final Mass likelihood from Data



$$M_{\text{top}} = 171.2 \pm 2.7 \text{ (stat.)} \pm 2.9 \text{ (syst)} \text{ GeV/c}^2 = 171.2 \pm 4.0 \text{ GeV/c}^2$$

Ideogram analysis in all-had

- ❑ Neural network selection common with x-section measurement (see A. Castro's talk)
- ❑ Additionally demand >2 btags and exactly 6 jets in final state: S:B ~ 2:3



Event-by-event likelihood based on decay part of matrix element

$$L_S(M_t, JES) = \sum_{i=1}^{90} w_i \iint dm_t' dm_W' G(m_t', m_W' | m_t^i, m_W^i, \sigma_t^i, \sigma_W^i, \rho_{t,W}^i) \cdot BW(m_t' | M_t, \Gamma_t) \cdot BW(m_W' | M_W, \Gamma_W)$$

Compute compatibility of 6 final state jets with tt kinematics using χ^2 of kinematical mass fitter and b-tag information and distill weight, w_i , for each jet permutation

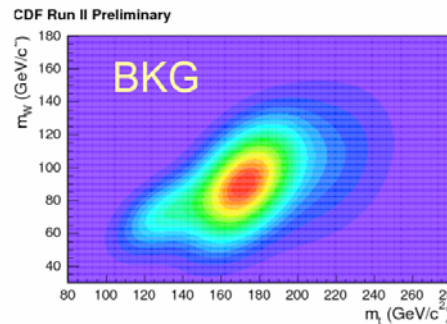
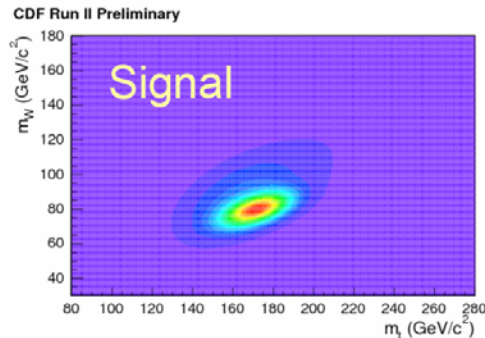
2D Gaussian resolution function for reconstructed top and W mass, including correlation term, $\rho_{t,W}$

Both dependent on free floating JES

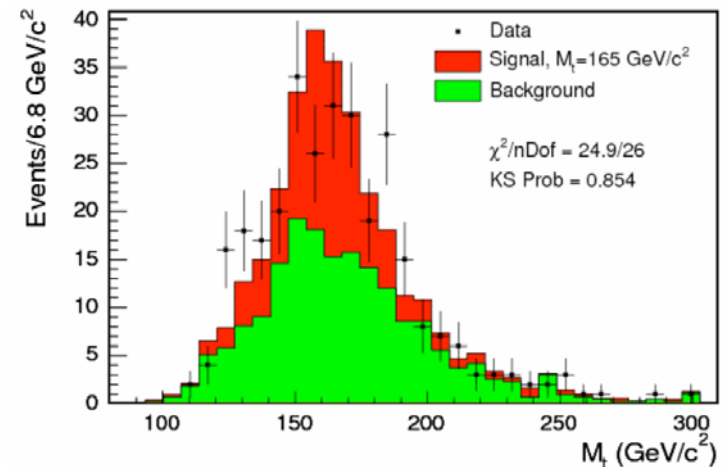
No observable decrease of performance was found by replacing Breit-Wigners with delta functions

All-had ideogram analysis results

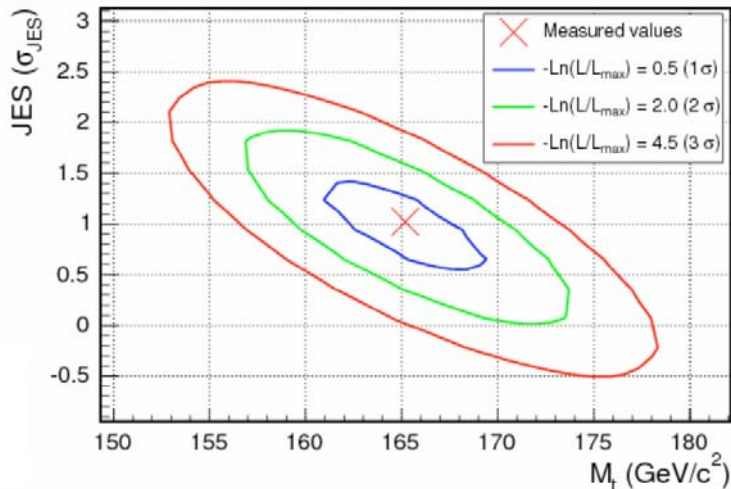
- Signal fraction measured from data and compatible with cross section measurements
- Backgrounds are described by 2D templates in reconstructed top and W mass



M_t value at maximum event likelihood



CDF Run II Preliminary (1.9 fb^{-1})



$$M_{\text{top}} = 165.2 \pm 4.4 \text{ (stat.+JES)} \pm 1.9 \text{ (syst)} \text{ GeV}/c^2$$

$$= 165.2 \pm 4.8 \text{ GeV}/c^2$$

Systematic Uncertainties

- Mtop uncertainties have become dominated by systematics
- >1 yr CDF is revising all its systematic uncertainties for Mtop
- To have absolute confidence in the small numbers we quote
- To remove possible double counting in several sources
- To study carefully new physics effects that can be a new source
- Joint meetings with D0 to understand better each approach and possibly converge on common strategies

Our current list

1. JES (for non-in situ)
2. ISR&FSR
3. b-JES
4. Residual JES
5. PDF uncertainties
6. Generator & modeling
7. Multiple interactions
8. Background fraction & Shape
9. Lepton Energy scale

To be considered

- NLO Systematics: need to validate and compare NLO generators, possibly also include NLO PDF's
- Color reconnection: Model is intertwined with underlying event, need our experts to come up with reasonable tuning

...

Residual JES

Problem

- Use jets from hadronic W resonance in messy ttbar environment to measure the average response of jets in one number
- Typically choose to measure a global JES shift that is flat in pt and eta, or a shift according to the sum in quadrature of all JES systematics
- Our in-situ measured JES does not fully measure out shifts in JES scale along different parameter space curves (different shifts in jet pt and eta)

Answer

- Evaluate every independent JES systematic separately when possible, and sum in quadrature
- Do this to re-compute acceptances and shapes for signal and background
- Compromises can be made for small background sources and JES correction levels for which the uncertainties are small

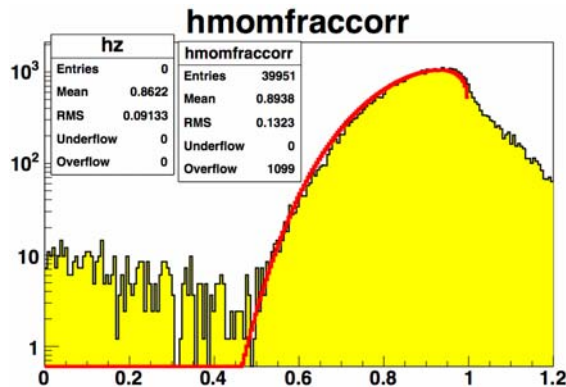
B-JES

Problem

- Derive JES from W daughter jets, but b jets carry most M_{top} information
- 3 components of uncertainties due to difference between b and q jets:
 - B decay BR uncertainties (semi-leptonics!)
 - B fragmentation uncertainties
 - Calorimeter response uncertainties
- Currently vary b-jes with additional 1% variation to bracket these uncertainties

Using semileptonic branching uncertainties from PDG: $0.14 \text{ GeV} < \Delta M_{\text{top}} < 0.35 \text{ GeV}$

B fragmentation: vary Bowler parameters (between LEP, SLD and our default) and calculate event weights, but we do not have access to longitudinal momentum fraction of B-hadron wrt b quark: $\Delta M_{\text{top}} < 0.20 \text{ GeV}$



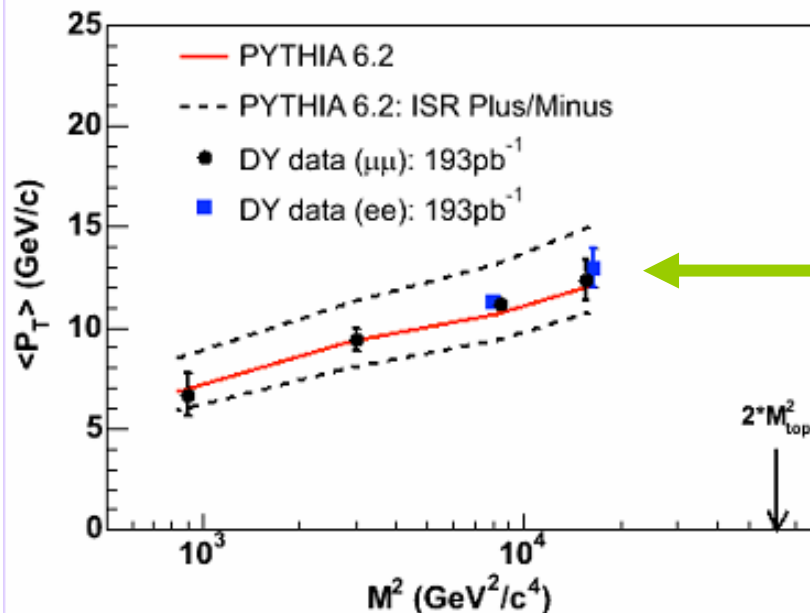
Will try fully simulated events with different Bowler parameters

Also: small effects found in M_{top} due to difference in absolute calorimeter response

ISR & FSR

Problem

- Use dedicated Pythia samples with increased/decreased amount of ISR/FSR
- Variations in pythia parameters are determined by studying dimuon events only sensitive to ISR
- FSR parameters are varied within similar bounds, assuming the physics is similar
- Extrapolation from DY data to $t\bar{t}$ events is large
- Pythia parameters control mainly the soft part of FSR, might overlook hard (NLO type) radiation



Will try to pin down this uncertainty band by using new data and adding higher mass points

Currently changed to samples where ISR and FSR are simultaneously increased or decreased

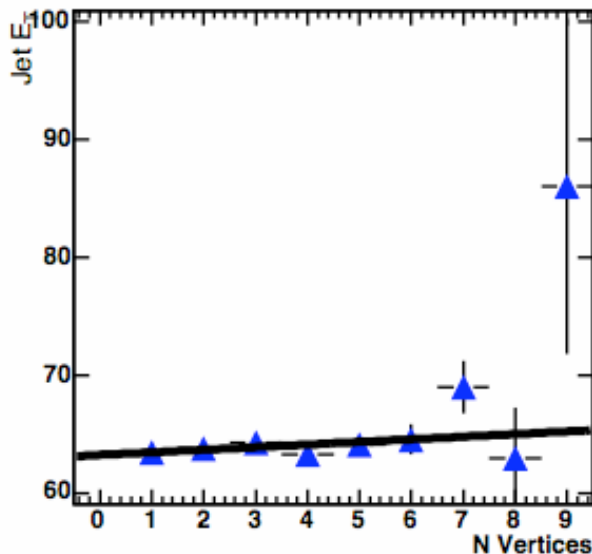
Multiple interactions

Problem

- Our MC simulates only one parton-parton interaction per event
- We add additional min bias events according to our lumi profile and determine JES correction
- In $t\bar{t}$ events our MC still underestimates the amount of multiple parton-parton interactions in each collision
- How does this propagate into an M_{top} uncertainty ?

B-Jet E_t increases with ~ 200 MeV

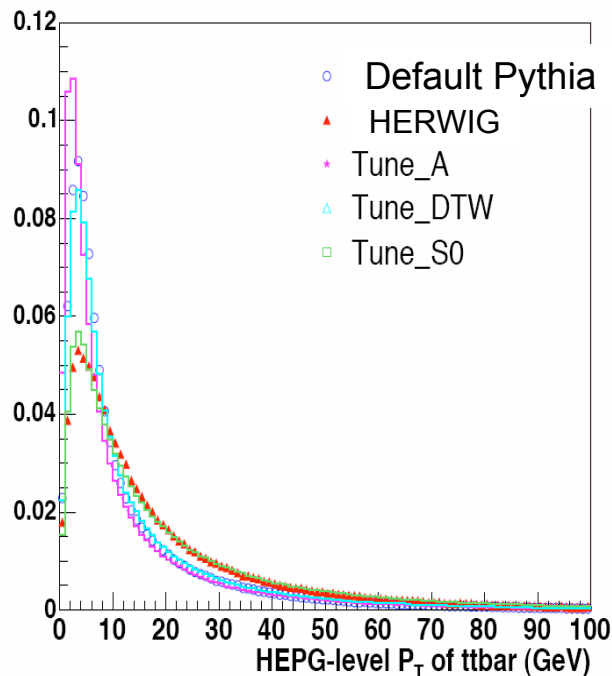
For each additional vertex



- We find mean of ~ 2 vertices per event in our current 2 fb^{-1} dataset
- We know that B-Jets affect M_{top} most
- We know how a 1% bjet E_t increase affects M_{top}
- Total effect is $O(200 \text{ MeV})$ on M_{top}

Remaining issues

- Generator systematics are treated in separate talks by U. Huseman and A. Hare
- Color Reconnection:
 - Up to recently, no good Tune available in CDF
 - We know P_t (ttbar) is most affected
 - We found that P_t (ttbar) in data corresponds well with that from HERWIG
 - CDF obtained P_t (ttbar) spectrum at parton level from CR authors and reweighted events



Obtained shifts after reweighting for S0 model were

Incompatible with our observed shifts wrt HERWIG:

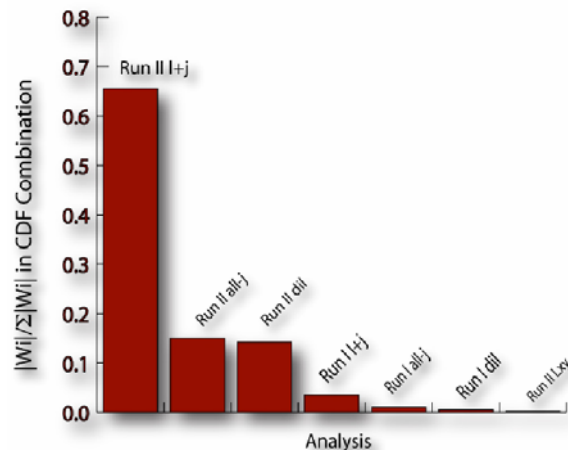
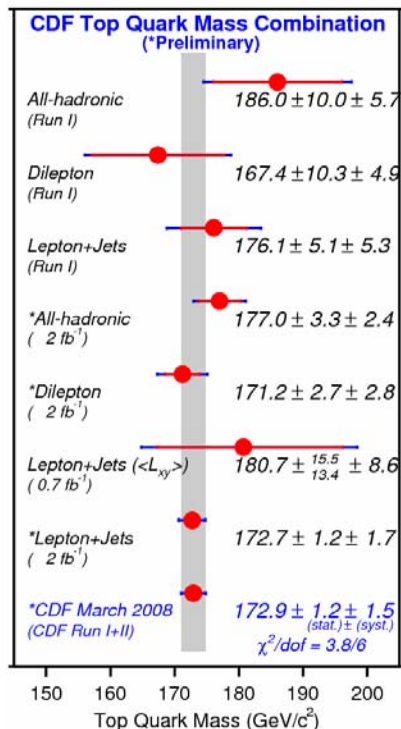
$$\Delta M_{\text{top}} = 0.5 \text{ GeV}$$

Our current bracket around the CR uncertainty is $\Delta M_{\text{top}} < 1.3 \text{ GeV}$

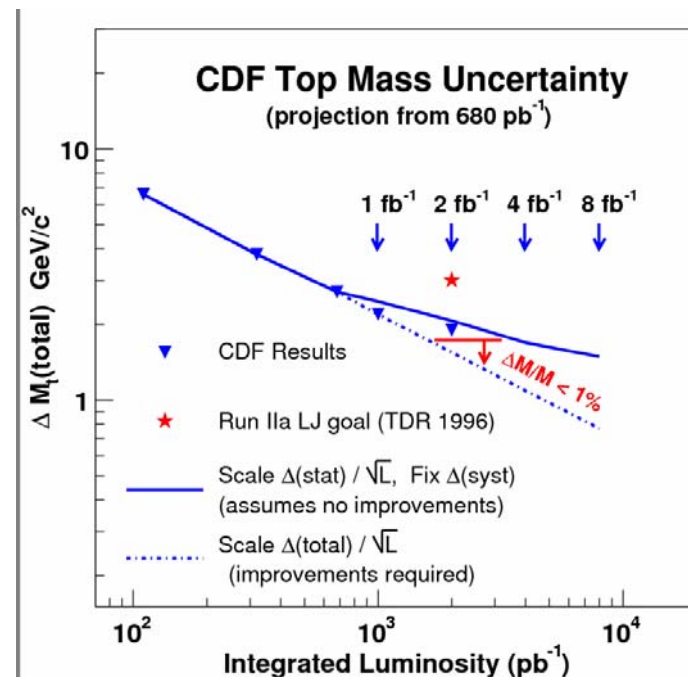
But likely to be much smaller

No one knows how much we are double counting with modeling/fragmentation effects

Combined results



See D. Glenzinski's talk



CDF average $M_{\text{top}} = 172.9 \pm 1.2(\text{stat}) \pm 1.5(\text{syst}) \text{ GeV}/c^2$

World average $M_{\text{top}} = 172.6 \pm 0.8(\text{stat}) \pm 1.1(\text{syst}) \text{ GeV}/c^2$

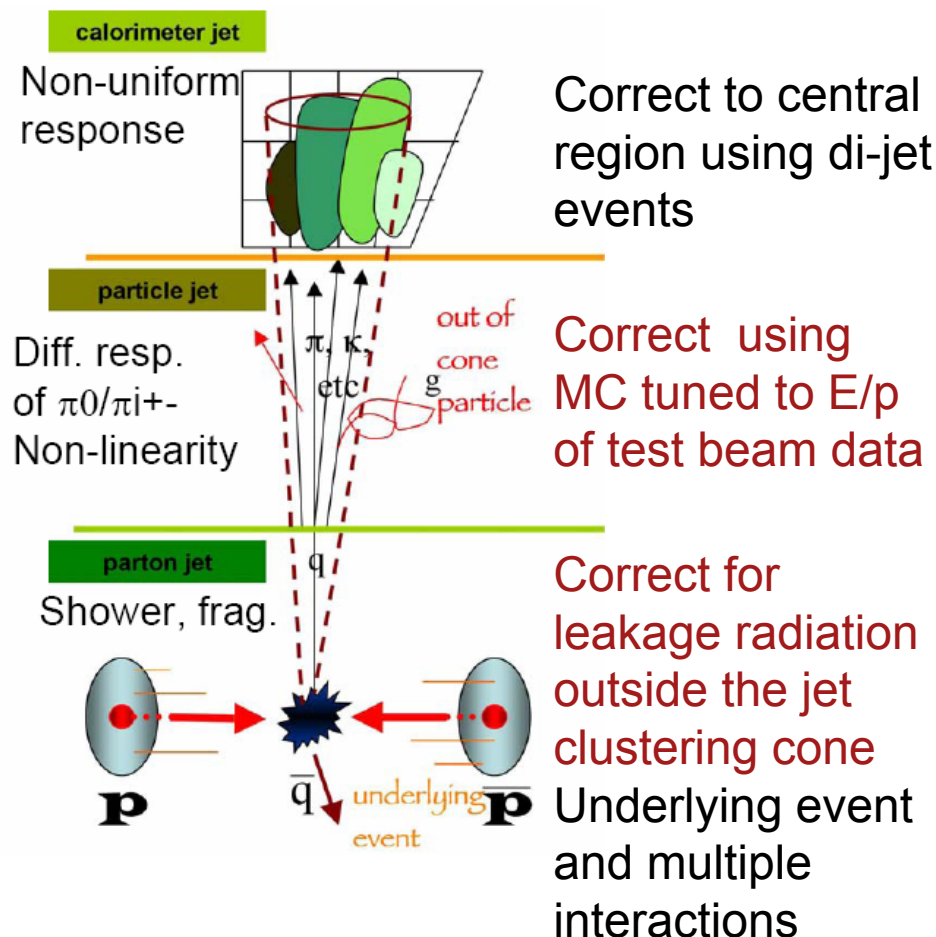
Conclusion

- Very large collective effort spent on top mass
- Top mass is now known to $< 1\%$
- More data will be analyzed!
- Our accuracy will be hard to beat by LHC
- Need to be absolutely confident in the systematic uncertainties we quote
- One of the important legacies of the Tevatron

Thanks to all members of CDF Top team in making it happen

Backups

Jet Energy Scale (JES)



- JETS defined at calorimeter level using iterative cone with $R=0.4$
- Series of correction levels to obtain a parton P_t estimate
- Most corrections are data-driven and obtained from independent di-jet and γ +jet samples
- Same corrections apply to data and MC (signal & bg)
- Most top mass analysis do not correct for out-of-cone effects or underlying event activity
- All analyses assign systematic uncertainty due to all correction levels

Typical systematics tables

ME in L+jets

Systematic source	Systematic uncertainty (GeV/c^2)
Calibration	0.1
MC generator	0.4
ISR and FSR	0.5
Residual JES	0.5
b-JES	0.4
Lepton P_T	0.2
Multiple interactions	0.1
PDFs	0.2
Background	0.3
Total	1.0

ME in dilepton

Source	Size (GeV/c^2)
Jet Energy Scale	2.5
Lepton Energy Scale	0.1
Generator	0.7
Method	0.4
Sample composition uncertainty	0.3
Background statistics	0.5
Background modeling	0.2
FSR modeling	0.3
ISR modeling	0.3
PDFs	0.6
Total	2.9

Ideogram in all had

Source	ΔM_t (GeV/c^2)
ISR/FSR	1.2
MC Generator	0.8
Residual JES	0.7
Inst. lumi.	0.7
Bg Fraction	0.5
Bg Shape	0.4
PDF	0.4
B-JES	0.3
Calibration	0.2
Bg Statistics	0.07
Total	1.9

ISR-FSR systematics

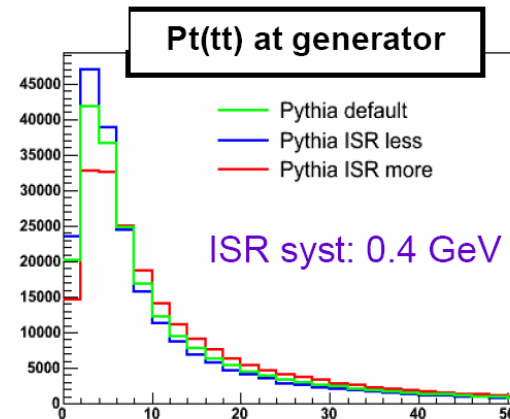
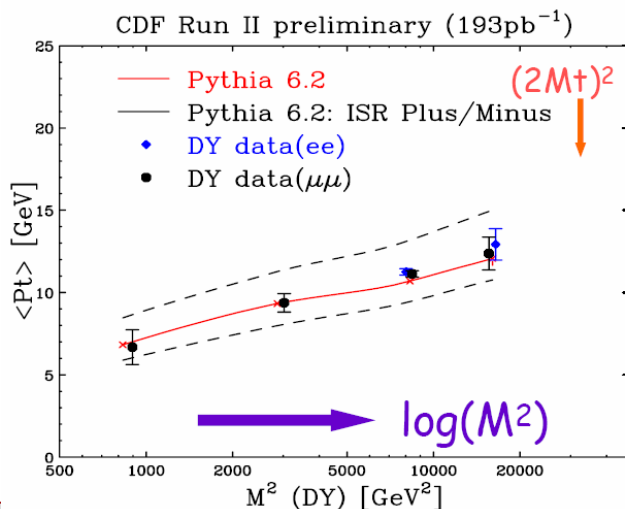
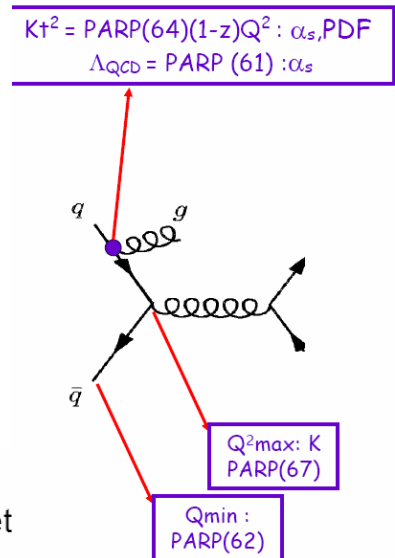
➤ FSR syst. is based on the ISR syst. studies

- Both ISR and FSR have same parton shower process (DGLAP), except that the PDF evolution is only involved in ISR showering

Pythia	FSR plus (Top std)	FSR minus (Top std)	Pythia	FSR plus (Top cntrl)	FSR minus (Top cntrl)
PARP(72)	0.292 (5fl: LO)	0.073	PARP(72)	0.292	0.073
PARP(71) D=4	8	2.0	PARJ(81) D=0.290	0.580 (4fl: LO)	0.145
			PARP(71)	8	2.0

➤ Conservative ISR syst. (plus/minus) for ttbar are established

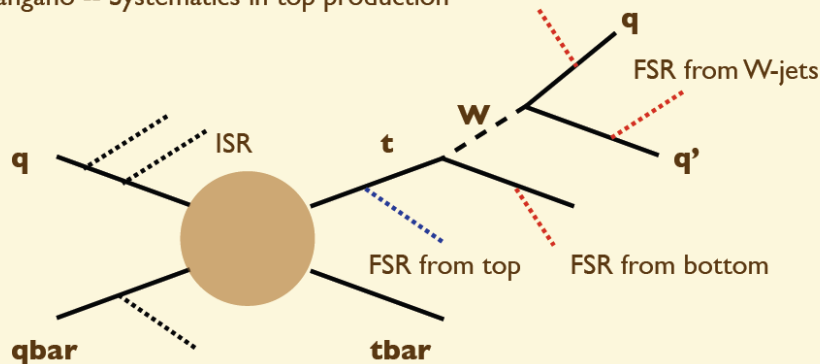
- <Pt(dilepton)>: sensitive to only total size of ISR, but missing Njet
- Extrapolate to top pair production region using LO MC



R-ratio in ISR/FSR

- Define
$$R = N(\text{ttbar} + 0 \text{ jets}) / N(\text{ttbar} + 1 \text{ or more jets})$$
- Measure R in ttbar l+jets data and compare it to MC (Alpgen).

M.L. Mangano -- Systematics in top production



ALPGEN introduces the ME corrections to ISR and FSR from top

ME corrections to FSR from bottom and W final states are NOT done by ALPGEN, and are expected to be done by the shower

D0 approach:

- Divide $tt+0l p(\text{excl})$, $tt+1l p(\text{excl})$, $tt+2l p(\text{incl})$ into l+3particle jets, l+4particle jets, and l+5 or more particle jets
- Vary the fraction of l+5 particle jets to get the right R

$$R(3p_j + 4p_j) = 1.33$$

$$R(5p_j) = 1.56$$

In data between 1.46 and 1.96

B-JES systematics

Old estimates

- Heavy quark fragmentation: $0.4 \text{ GeV}/c^2$
- Semileptonic decays: $0.4 \text{ GeV}/c^2$
- Color flow: $0.3 \text{ GeV}/c^2$
- **Total:** $0.6 \text{ GeV}/c^2$

Our current proposal

Proposed systematic shifts:

$$* B(b \rightarrow l) = 23.9\% \pm 0.7\%.$$

Includes $b \rightarrow \tau$.

$$* B(c \rightarrow l) = 18.8\% \pm 2.0\%.$$

Use for all charm, incl
sequential decay

Vary these independently. Here correlated != conservative.

But do we also need to separate charm further?

B-JES systematics

- What fraction of momentum of b quarks is carried by the b hadron (z)?
- Describe using phenomenological models. Best model (?) seems to be the Bowler parameterization

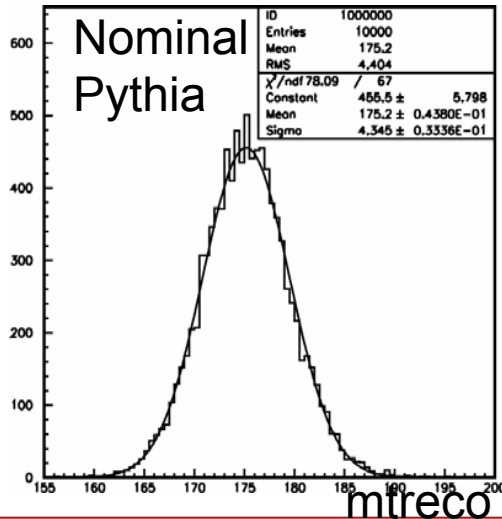
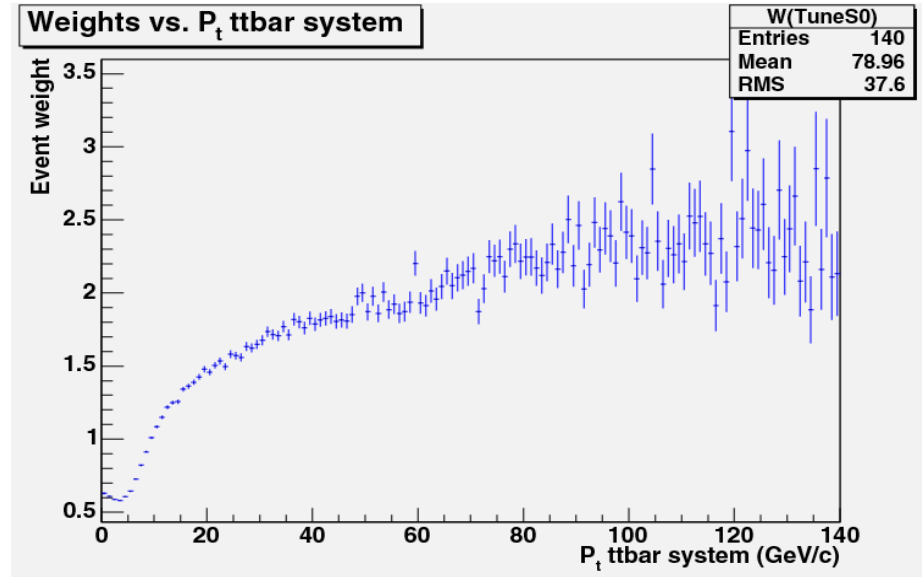
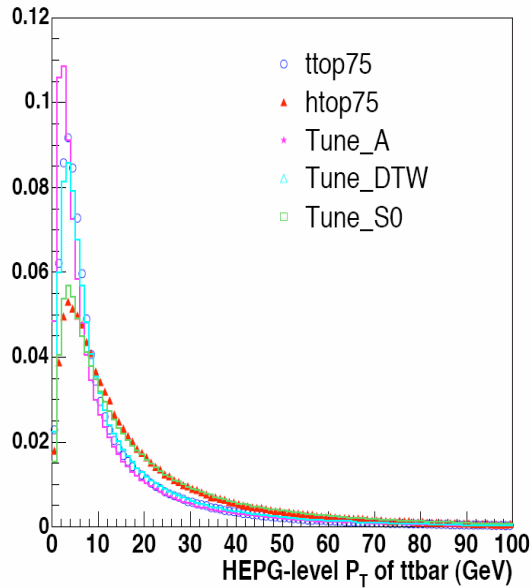
$$f(z) \propto z^{-(1+br_q m_q^2)} (1-z)^a \exp\left[\frac{-b(m_{had}^2 + p_T^2)}{z}\right]$$

- a, b, r_q : free parameters
- m_q : mass of b quark (4.8 GeV)
- m_{had} : mass of b hadron
- p_T : transverse momentum of hadron, z direction given by flight direction of quark

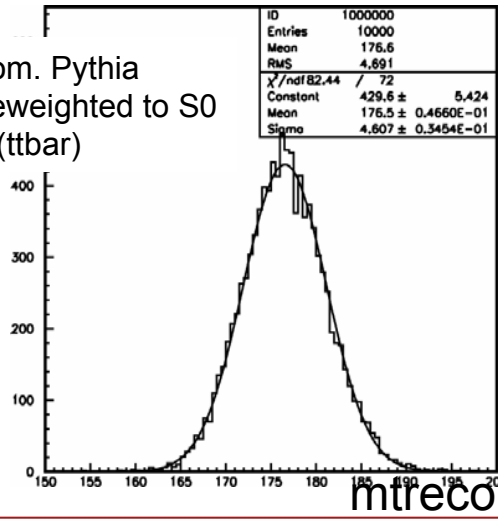
General idea:

1. Vary a, b, r , calculate $W(z)$ for each event
2. Use $W(z)$ to reweight pseudodata

CR

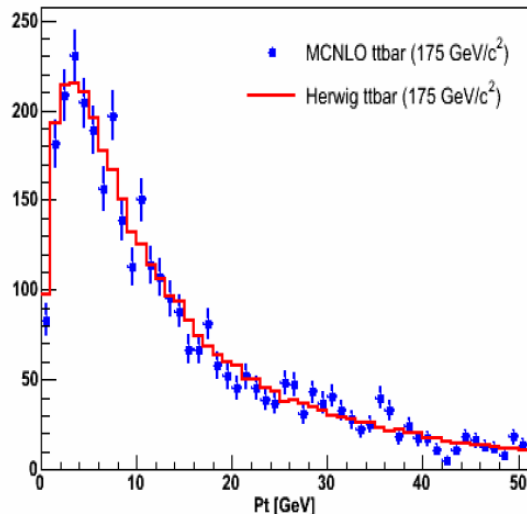


Nom. Pythia
Reweighted to S0
 $P_T(t\bar{t})$

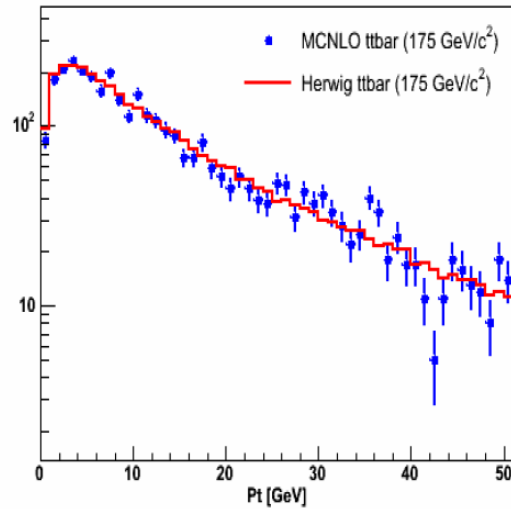


$$\Delta M_t = 1.3 \pm 0.06 \text{ GeV}$$

Pt of the ttbar



Pt of the ttbar



Pt of the Top quarks

